



Docket No.: 42390.P17030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Zhong-Ning Cai, et al.

Application No.: 10/611,619

Filed: June 30, 2003

For: APPARATUS AND METHOD FOR AN  
ADAPTIVE MULTIPLE LINE  
PREFETCHER

Examiner: Patel, Kaushikkumar M.

Art Group: 2188

REQUEST FOR REFUND

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

It is submitted that an extension of time fee was erroneously charged in connection with the above-identified patent application. Please refund \$120.00 payment of the Extension of Time fee for this patent application to Deposit Account 02-2666. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

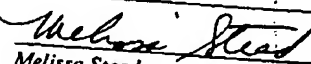
Date: 3/7/07

12400 Wilshire Blvd., 7<sup>th</sup> Floor  
Los Angeles, CA 90025  
(310) 207-3800

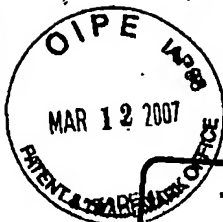
  
Joseph Lutz Reg. No. 43,765

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

  
Melissa Stead  
Date 3-7-07

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	10/611,619
Filing Date	June 30, 2003
First Named Inventor	Zhong-Ning Cai
Art Unit	2188
Examiner Name	Patel, K. M.
Attorney Docket Number	42P17030
Total Number of Pages in This Submission	3

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) return postcard
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Joseph Lutz, Reg. No. 43,765
Signature	BLAKELY, SOKOLOFF TAYLOR & ZAFMAN LLP
Date	3/7/07

## CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Melissa Stead
Signature	Melissa Stead
Date	3-7-07

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (ndc) 10/12/2006.  
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# FEE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete If Known

Application Number	10/611,619
Filing Date	June 30, 2003
First Named Inventor	Zhong-Ning Cai
Examiner Name	Patel, K. M.
Art Unit	2188
Attorney Docket No.	42P17030

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☐ Credit any overpayments

## FEE CALCULATION

Large Entity Small Entity

Fee Code Fee (\$)

Fee Code Fee (\$)

Fee Description

1051 130

2051 65

Surcharge - late filing fee or oath

1052 50

2052 25

Surcharge - late provisional filing fee or cover sheet.

2053 130

2053 130

Non-English specification

1251 120

2251 60

Extension for reply within first month.

1252 450

2252 225

Extension for reply within second month

1253 1,020

2253 510

Extension for reply within third month

1254 1,590

2254 795

Extension for reply within fourth month

1255 2,160

2255 1,080

Extension for reply within fifth month

1401 500

2401 250

Notice of Appeal

1402 500

2402 250

Filing a brief in support of an appeal

1403 1,000

2403 500

Request for oral hearing

1451 1,510

2451 1,510

Petition to institute a public use proceeding

1460 130

2460 130

Petitions to the Commissioner

1807 50

1807 50

Processing fee under 37 CFR 1.17(q)

1806 180

1806 180

Submission of Information Disclosure Stmt

1809 790

1809 395

Filing a submission after final rejection (37 CFR § 1.129(a))

1810 790

2810 395

For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify)

Fee Paid

2007 JUN 15 PM 4:29

SUBTOTAL (2) (\$)

SUBMITTED BY

Name (Print/Type)

Joseph Lutz

Registration No.  
(Attorney/Agent)

43,765

Complete (if applicable)

Telephone

(310) 207-3800

Signature

Date

3/7/07

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (w/1) 12/15/2004.  
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MAR 12 2007

**FEE TRANSMITTAL  
for FY 2006**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Application Number

Complete if Known

Filing Date

10/611,619

First Named Inventor

June 30, 2003

Examiner Name

Zhong-Ning Cai

Art Unit

Patel, K. M.

Attorney Docket No.

2188

42P17030

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor &amp; Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.☐ Charge fee(s) indicated below, except for the filing fee☐ Credit any overpayments**FEE CALCULATION**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

**SUBMITTED BY**

Name (Print/Type)

Joseph Lutz

Signature

Registration No.  
(Attorney/Agent)

43,765

Complete (if applicable)

Telephone

(310) 207-3800

Date

3/7/07

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 12/15/2004.  
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REPLY UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE  
TECHNOLOGY CENTER 2800

Attorney's Docket No.: 42P17030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 10/611,619

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For: APPARATUS AND METHOD FOR AN  
ADAPTIVE MULTIPLE LINE  
PREFETCHER

Examiner: Patel, Kaushikkumar M.

Art Group: 2188

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

Mail Stop AF  
Commissioner for Patents  
P. O. 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Final Office Action mailed October 18, 2006, Applicants respectfully request reconsideration of the above-identified application in view of the following amendments and remarks.

01/05/2007 TL0111 88888853 022666 10611619  
01 FC:1251 120.00 DA

Adjustment Date: 04/05/2007 SDIRETA1  
01/08/2007 TL0111 88888853 022666 10611619  
01 FC:1251 120.00 DA